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Sheet 1 of 1

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 109460		APPLICATION NO. New U.S. Patent Application	
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)				APPLICANT(S) Takahiro SUGIMOTO			
				FILING DATE May 14, 2001		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
TM		09/690,901	10-2000	Takahiro SUGIMOTO			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
TM		COBIT steering Committee and Information Systems Audit and Control Foundation : COBIT Executive Summary 2 <sup>nd</sup> Edition (1998) ( <a href="http://www.isaca.org/execl.htm">http://www.isaca.org/execl.htm</a> )					
		ISO/IEC TR 13335-1-3 (GMITS) Technical Report (1996-1998)					
TM		GENERALLY-ACCEPTED SYSTEM SECURITY PRINCIPLES (GASSP) V2 (1999) ( <a href="http://web.mit.edu/security/www/gassopl.html">http://web.mit.edu/security/www/gassopl.html</a> )					
		Common Criteria V2 ( <a href="http://csrc.nist.gov/cc/ccv20/ccv2list.htm">http://csrc.nist.gov/cc/ccv20/ccv2list.htm</a> )					
TM		"The Information Security Maturity Grid" Timothy R. Stacey Information System Security p. 22 Summer 1996					
EXAMINER				DATE CONSIDERED			
Thomas Ho				3/7/05			
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 109460		APPLICATION NO. 09/853,708	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT Takahiro SUGIMOTO			
				FILING DATE May 14, 2001		GROUP 2131	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
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TH TH TH	1	COBIT steering Committee and Information Systems Audit and Control Foundation : COBIT Executive Summary 2 <sup>nd</sup> Edition (1998) ( <a href="http://www.isaca.org/excd.htm">http://www.isaca.org/excd.htm</a> ).					
	2	ISO/IEC TR 13335-1-3 (GMITS) Technical Report (1996-1998).					
TH T	3	GENERALLY-ACCEPTED SYSTEM SECURITY PRINCIPLES (GASSP) V2 (1999) ( <a href="http://web.mit.edu/security/www/gassopl.html">http://web.mit.edu/security/www/gassopl.html</a> ).					
	4	Common Criteria V2 ( <a href="http://csrc.nist.gov/cc/ccv20/ccv2list.htm">http://csrc.nist.gov/cc/ccv20/ccv2list.htm</a> ).					
TH	5	"The Information Security Maturity Grid" Timothy R. Stacey Information System Security p. 22 Summer 1996.					
EXAMINER Thomas W				DATE CONSIDERED 3/7/05			
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August 12, 2004